

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
6 June 2002 (06.06.2002)

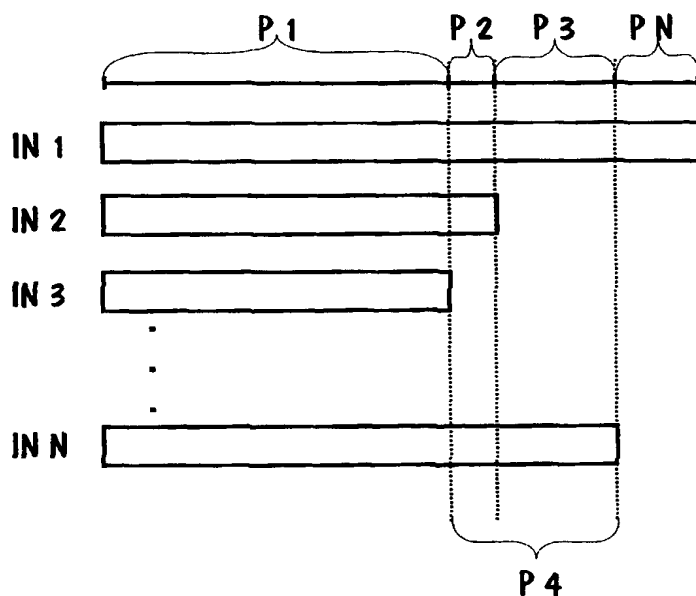
PCT

(10) International Publication Number
WO 02/45459 A1

- (51) International Patent Classification⁷: **H04Q 11/04**, H04L 12/56 (74) Agent: **PAGE, WHITE & FARRER**; Mr. JP Ruuskanen, Runeberginkatu 5, 10th Floor, FIN-00100 Helsinki (FI).
- (21) International Application Number: PCT/FI01/01026 (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (22) International Filing Date: 26 November 2001 (26.11.2001)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data: 20002646 1 December 2000 (01.12.2000) FI (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).
- (71) Applicant (*for all designated States except US*): **NOKIA CORPORATION** [FI/FI]; Keilalahdentie 4, FIN-02150 Espoo (FI).
- (72) Inventor; and (75) Inventor/Applicant (*for US only*): **HOLMA, Maunu** [FI/FI]; Aurorankatu 7 A 11, FIN-00100 Helsinki (FI). Published: — with international search report

[Continued on next page]

(54) Title: A METHOD FOR MULTIPLEXING DIFFERENT BIT RATE SOURCES



(57) Abstract: This invention relates to the multiplexing of multiple sources in a multiplexer. A special arrangement is used for calculating an efficient peak capacity value that guarantees good performance for an outgoing link. The invention uses a method with two phases. The first phase precalculates the capacity of the outgoing link with different numbers of incoming links having equal packet size, and forms a table from the results of the precalculation. In the second phase, the peak capacity needed for the outgoing link is calculated using the precalculated tables.



WO 02/45459 A1



— before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

A Method for Multiplexing Different Bit Rate Sources

Field of the Invention

5 This invention relates to the multiplexing of multiple sources in a multiplexer. Especially, the invention relates to multiplexing in an ATM multiplexer. The invention also relates to a situation where the sources have different bit rates and/or different packet sizes (or batch sizes).

Background of the Invention

10 Figure 1 shows a typical example of an ATM multiplexer (1). The multiplexer joins different streams, such as video, voice and data, into a single ATM link (2). The ATM link transmits ATM cells, each cell containing 53 bytes - 5 bytes for a header (3) that manages the routing of the cell, and 48 bytes for payload data (4). ATM was designed for constructing low-delay
15 packet-switching links to give high performance bandwidth.

The ATM system offers functions for different applications. The system can be divided into layers (compare to the OSI layer model). Above the ATM layer, which handles, for example, the multiplexing and demultiplexing functions, is the ATM adaptation layer (AAL) that handles convergence,
20 segmentation, and reassembly matters. It can be said that the AAL provides for the mapping of higher-layer protocol data units (PDUs) into the payload fields of ATM cells and the reassembly of these PDUs. Several AAL protocols exist that support different applications. One of these protocols is AAL 2.

AAL 2 is especially designed for bandwidth efficient transmission,
25 for low-rate, short length packets in delay sensitive applications. For example, compressed voice as generated in mobile networks is such an application.

It is difficult, in certain implementation, to achieve good performance for a system. If requirements for a system are low-delay connections
30 when there are few incoming links in asynchrony, but still connection establishment rates are high, it is difficult to find a peak allocation in a way that the ATM link performance is efficient. Either the performance tends to be low in these kinds of implementations, or the algorithms are too slow for real-time fast calculation. The goal of the invention is to solve the problem of the
35 above-mentioned implementations. This is achieved in a way described in the claims.

Summary of the Invention

Since packet size (or more generally batch size) may be different (due to different bit rates or different inter-packet time periods) in incoming links of the multiplexer, a special arrangement must be used for calculating an efficient peak capacity value that guarantees good performance for an outgoing link. The idea of the invention uses a method with two phases. The first phase precalculates the capacity of the outgoing link with different numbers of incoming links having equal packet size, and forms a table from the results of the precalculation. In the second phase, the peak capacity needed for the outgoing link is calculated.

The second phase cuts a piece from each packet of the incoming links with a fixed maximum piece size, then repeats this action for the remaining part of the packets from the different incoming links until the remaining part of the longest packet size is left. In this way, several sets of virtual packets are formed, each set containing virtual packets with equal length, and forming a virtual packet stream. A peak capacity value is calculated for each set (virtual stream). The values are calculated, one for each set by using the table formed in the first phase. The peak capacity value of each set is then scaled so that it reflects the fixed maximum piece size of that set. After this, the scaled peak values of the sets are added together for getting the peak capacity value for the outgoing link.

In the preferred embodiment of the invention, the number of the incoming links is relatively low. One preferred realization of the outgoing link is an ATM link.

Brief Description of the Drawings

In the following the invention is described in more detail by means of Figures 1 - 7 in the attached drawings where.

30

Figure 1 illustrates an example of a known ATM multiplexer,

Figure 2 illustrates an example of incoming links and an outgoing link with delays and transmission rates,

Figure 3 illustrates an example of a precalculated table for several transmission rates of an outgoing link,

35

Figure 4 illustrates an example of forming packet sets, each containing packets with equal length,

Figure 5 shows an example of forming two packet sets, when incoming links contain two packet sizes,

5 Figure 6 shows an example of a method according to the invention in a flow diagram,

Figure 7 shows an example of a diagram representing the behavior of an outgoing link capacity with a different number of incoming links.

10 Detailed Description of the Invention

In this example the first phase of the invention is based on a well-known $N^*D/D/1$ model that calculates overflow probability. However any other suitable model could be used. In $N^*D/D/1$,

15 N = a number of identical constant-rate, constant-packet-size connections, i.e. a number of similar incoming links and,

D = the number of packets that the outgoing server can serve in a time period between incoming packets of a single incoming link.

20 A well-known formula of the overflow probability can be written

$$Q_D^N(x) = \sum_{x < n \leq N} \binom{N}{n} \left(\frac{n-x}{D} \right)^n \left(1 - \frac{n-x}{D} \right)^{N-n} \frac{D - N + x}{D - n + x}, \quad (1)$$

where x is an allowable queue size for incoming packets.

25 The formula (1) can be used for calculating the peak capacity value of an ATM link. In that case, the relation between the packet loss and the overflow probability is needed. Let's assume that the loss probability is equal to the overflow probability. However, this is not the only choice for the relation, but the validity of the choice depends on the case. -The packet loss probability describes the allowable number of lost packets in relation to the
30 number of packets sent during a certain time period.

Figure 2 illustrates an example of incoming links (IN 1, IN 2,...IN N) and an outgoing link (OUT). The symbol τ is a time value between incoming packets. In the case of Figure 2, each incoming link has the same fre-

quency to transmit packets, i.e the same τ . As can be noticed, the frequency of sending packets at the outgoing link is four times greater than at the incoming links. It is worth noting that for the purpose of an easy description, the situation in Figure 2 is a special one, where the incoming links come in a nice order, performing synchronously with the outgoing link. However, most real

5 situations perform asynchronously with the outgoing link.

The symbol δ represents an allowable time for the delay of a packet. The maximum delay can be τ . D is 4 since it is possible to serve 4 incoming packets in the time τ . Let's take a new parameter into use, namely

10 an allowable relative delay d that is $d = \delta / \tau$. Now, it is possible to substitute the allowable queue size, $x = D \cdot d$, in the formula (1). D can be solved from the formula (1) in desired values of the loss probability ($Q_D^N(x)$) and N . d depends on the structure of a system in the above-mentioned way. By solving D with several N values, it is possible to form a table, as shown in Figure 3. It is

15 worth noticing that when N is high enough, it is equal to D . The tables, such as in Figure 3, can be precalculated for the use of different real-time situations and loss probabilities. The precalculation forms the first phase in the method according to the invention.

In the the second phase of the invention the peak capacity value

20 for an ATM link is calculated in a way that the performance of the link is good, i.e. there is no useless extra capacity in the link.

Figure 4 illustrates an example of how the peak capacity value is achieved. The figure shows incoming links with different packet sizes. By slicing the packets of each link into similar pieces, it is possible to find equal

25 packet sizes for several links. In Figure 4, the incoming link IN 3 transmits the shortest packets. By slicing the packets coming from the rest of the incoming links, in a way that each link contains packets the size ($P1$) of the packet of the link IN 3, virtually similar incoming links have been formed with the same frequency of incoming packets. Now, it is possible to use the precalculated

30 tables from the first phase for finding a suitable peak capacity value D for the incoming packets of the size $P1$.

In Figure 4, the next most common packet size for several incoming links is $P2$. Similarly, the following most common packet size is $P3$. Finally, the last packet size PN is the remaining part of the longest packet in

35 the link IN1. The precalculated tables are used for every set with the same

packet sizes ($P_1, P_2, P_3, \dots, P_N$). Let's say that D_1 is a peak capacity value for the packets P_1 , D_2 for the packets P_2 , D_3 for the packets P_3 , and finally D_N for the packets P_N . The capacity values D_1 through D_N give the amount of packets of size P_1 through P_N respectively that the server must be able to serve during time τ . By adding $D_1 \cdot P_1 + D_2 \cdot P_2 + D_3 \cdot P_3 + \dots + D_N \cdot P_N$ a peak capacity value of the outgoing link is achieved. The peak capacity value of the outgoing link tells the total traffic volume (e.g. the amount of bits) that the server must be able to serve during time τ . In a real implementation, it may be useful to do approximations. For example in Figure 4, if packet sizes (P_2, P_3) are small, it is reasonable to approximate the sizes to a greater size. In this case the sizes P_2 and P_3 are considered to be too small, but the size P_4 is considered to be reasonable. By careful choice of packet sizes, a peak capacity value does not grow too much.

Let's look at an example of two incoming link types. Both of the links are carrying speech data and: both of the links have the same frequency, $\tau = 20$ ms, for incoming packets, but different packet sizes, $K_1 = 10$ bytes and $K_2 = 20$ bytes (See Figure 5). The numbers of connections are $N_1 = 25$ for the packet size K_1 and $N_2 = 25$ for the packet size K_2 . These links are multiplexed together. The allowable delay $\delta = 5$ ms. The $N \cdot D/D/1$ model can be used for achieving the capacity values for both the types, and sum up the results for achieving the total capacity requirement. Another choice is to use the method according to the invention.

According to the invention two virtual streams (sets) are formed: S_1 and S_2 . Stream S_1 consists of $25 + 25 = 50$ packets of 10 bytes. Correspondingly, Stream S_2 consists of 25 packets of 10 bytes. The $N \cdot D/D/1$ model can be used for achieving the capacity values for both the streams, and the total capacity requirement is achieved by adding the results. Since $\tau = 20$ ms for the incoming packets and the packet sizes are 10 and 20 bytes, rates of 4 kbit/s and 8 kbit/s are applied for the incoming link types. The desired loss probability is $1E-5$ with an allowable delay 5 ms. Table 1 shows what is the required capacity when the $N \cdot D/D/1$ model is used in a trivial way and when the capacity is achieved according to the invention. Link utilization describes how efficiently the outgoing link works.

Used method	N*D/D/1 25*4kbit/s+25*8kbit/s	Invention 50*4kbits/s+25*4kbit/s
Required capacity kbits/s	614,8	530
Link utilization %	48,8	56,6

Table 1.

As can be noticed, the required capacity is smaller using the method according to the invention. The outgoing link, which is going to be established, is also used more efficiently. Furthermore, it is worth noticing that the results depend strongly on the requirements used, such as the loss probability and the allowable delay.

Figure 6 illustrates an example of the method according to the invention in a flow chart form. The first phase (61) is to precalculate peak capacity values for different situations, such as a different number of incoming links, τ and δ values, assuming that incoming links transmit packets with the same size. In such cases, the N*D/D/1 model can be used. The second phase (62) is to divide packets coming from the incoming links into parts, so that each part consists of packets from the incoming links with a suitable packet length, at most one part from any packet. In other words, it can be thought that there are formed several virtual packet streams, each stream including packets with a maximum stream specific length. In the third phase (63) the peak capacity values for the streams are found from the precalculated values (set according to the precalculated arrays), and scaled by multiplying the precalculated values by the stream specific part length. In the fourth phase (64) the peak capacity value for the outgoing link is calculated by adding the scaled capacity values together.

Figure 7 illustrates an example of the nature of a peak capacity value, when the number of incoming links changes. As can be noticed, the peak capacity value does not change linearly when the number of incoming links changes. When the number of incoming links is great, the change is linear. The preferable area for using the method of the invention is the area (F) of a relatively low number of connections. Due to the unlinearity, it is difficult

to find a suitable peak capacity value, but the invention offers a solution for this problem.

Although, the method according to the invention is described only in the few above-mentioned examples, it is obvious that the invention can be realized in many ways, such as different variations of dividing incoming packets, in the scope of the inventive idea.

Claims

1. A method for forming a transmission capacity of an outgoing link in a multiplexer, where there are a number of incoming links, each incoming link transmitting packets of a link specific size, characterized in that the method forms a peak capacity value for forming the transmission capacity of the outgoing link, including the steps of
- precalculating arrays of peak capacity values, each array running for several numbers of the incoming links, and each array comprising a specific situation where the incoming links transmit packets within the same size,
 - dividing packets coming from the incoming links into parts, and regrouping the parts, each group having a maximum part size, and each group containing at most one part from any packet,
 - setting peak capacity values for the groups according to the precalculated arrays,
 - scaling the peak capacity value of each group by multiplying it by the maximum part size of that group, and
 - adding together the scaled peak capacity values of the groups.
2. A method according to claim 1, characterized in that said dividing of the packets is made in a way that
- the length of the shortest packet defines the length of the first part for the packets coming from all the incoming links,
 - the length of the part of the next shortest packet, which is left after the first part, defines the length of the second part for the packets coming from the incoming links, except the link transmitting the shortest packets.
 - the length of the third and the remaining parts are defined correspondingly, until the length of the final part is the length of the remaining part of the longest packet.
3. A method according to claim 1, characterized in that the dividing of the packets is made in a way that
- the length of the shortest packet defines the length of the first part for the packets coming from all the incoming links,
 - the lengths of the rest of the parts are defined as having certain lengths, although the lengths of the parts after the first part are shorter than the said certain lengths.

4. A method according to claim 1, characterized in that the dividing of the packets is made in a way that the lengths of the parts are defined to have certain lengths.

5. A method according to claim 1, characterized in that the precalculating uses the formula

$$Q_D^N(x) = \sum_{x < n \leq N} \binom{N}{n} \left(\frac{n-x}{D} \right)^n \left(1 - \frac{n-x}{D} \right)^{N-n} \frac{D-N+x}{D-n+x}$$

where

N = a number of similar incoming links,

10 D = the number of packets that can be served in a time period between incoming packets in a single link,

x = an allowable queue size,

$Q_D^N(x)$ = a desired value of loss probability.

6. A method according to claim 1, characterized in that
15 there is a relative low number of incoming links.

7. A method according to claim 1, 2, 3, 4, 5, or 6, characterized in that the outgoing link is an ATM link.

1/3

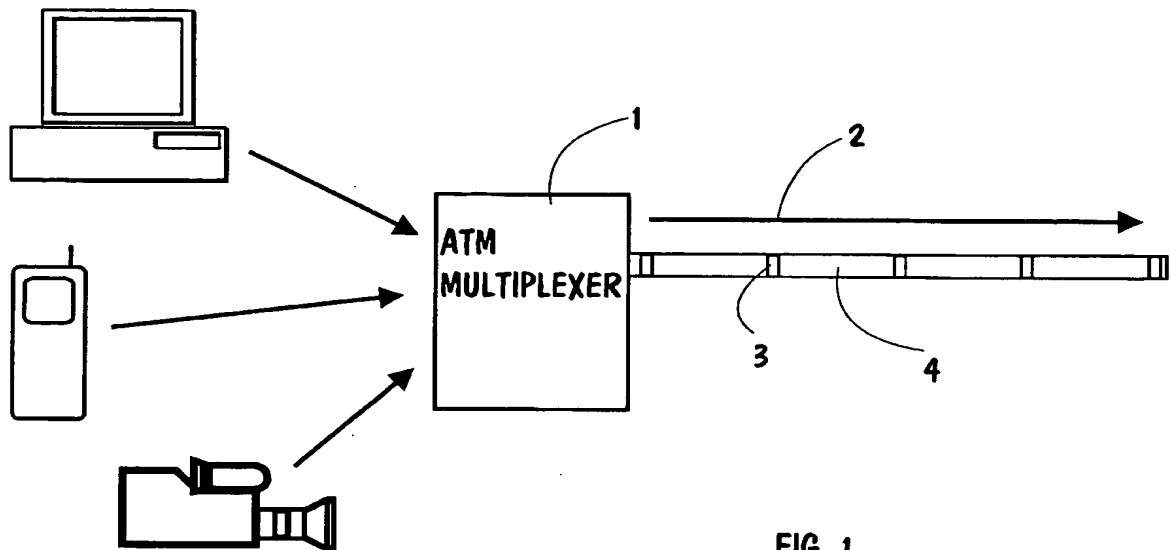


FIG. 1

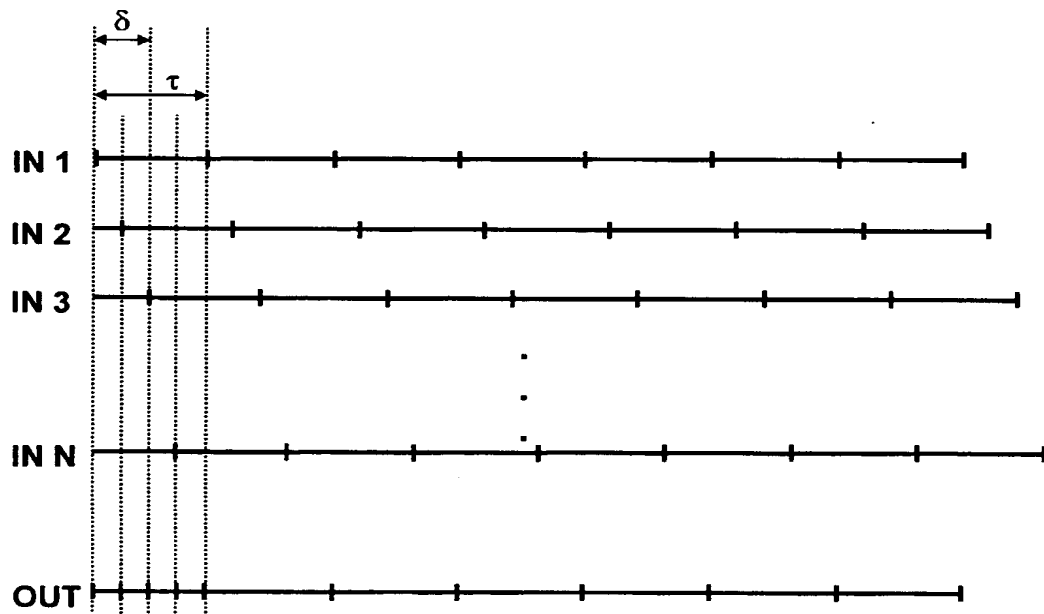


FIG. 2

N	0	1	2	3	4	5	6	7	8	300
D	0	10	10	11	12	12	12	13	13	300

FIG. 3

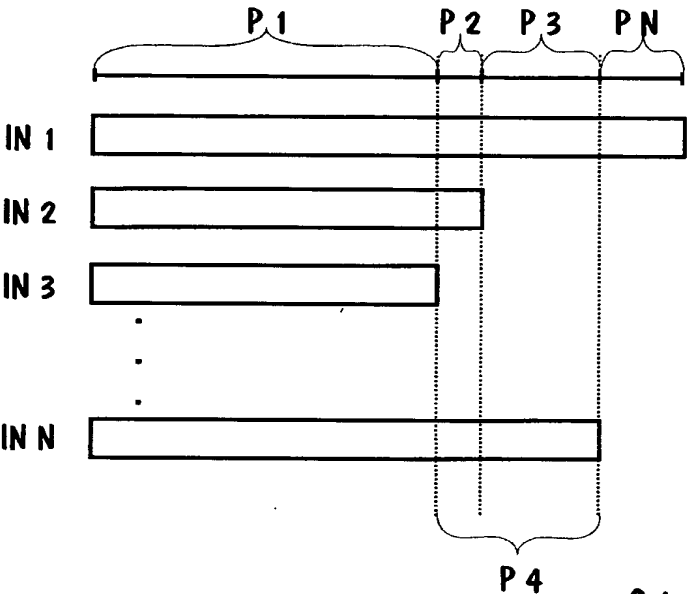
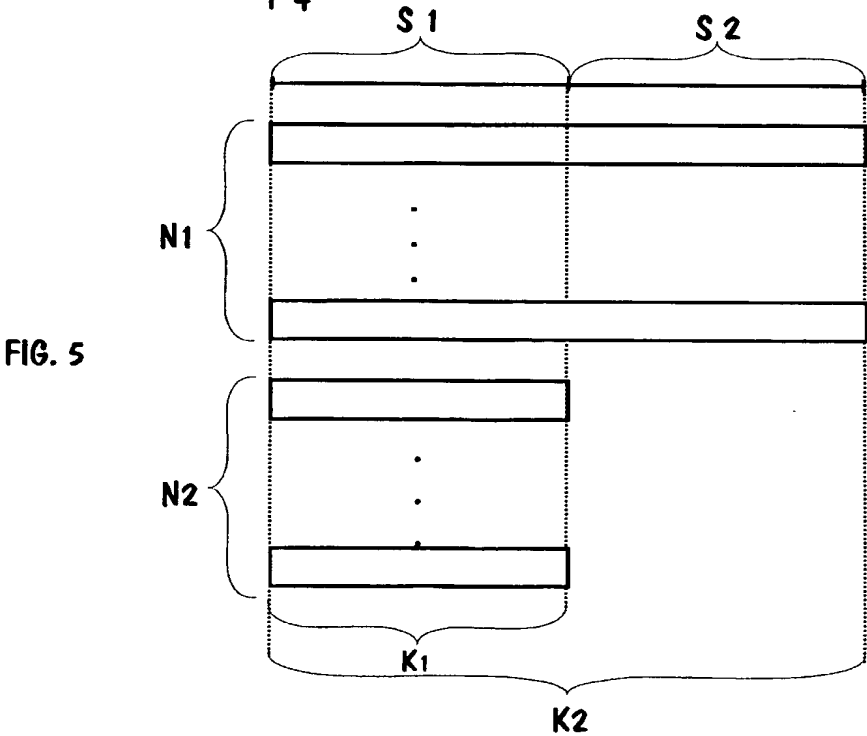


FIG. 4



3/3

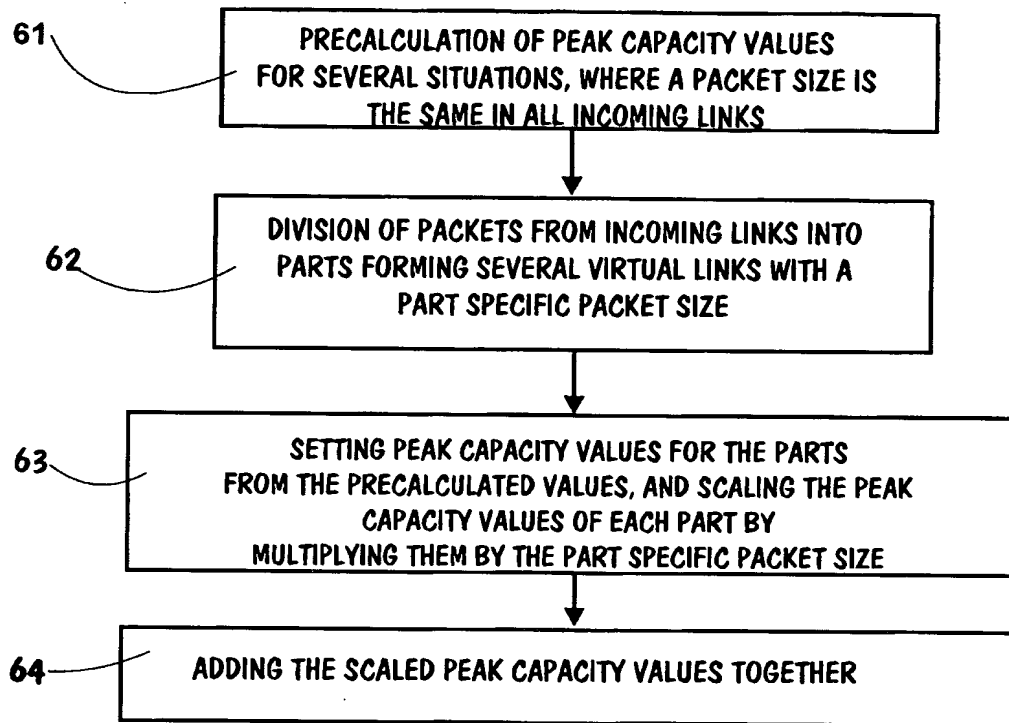


FIG. 6

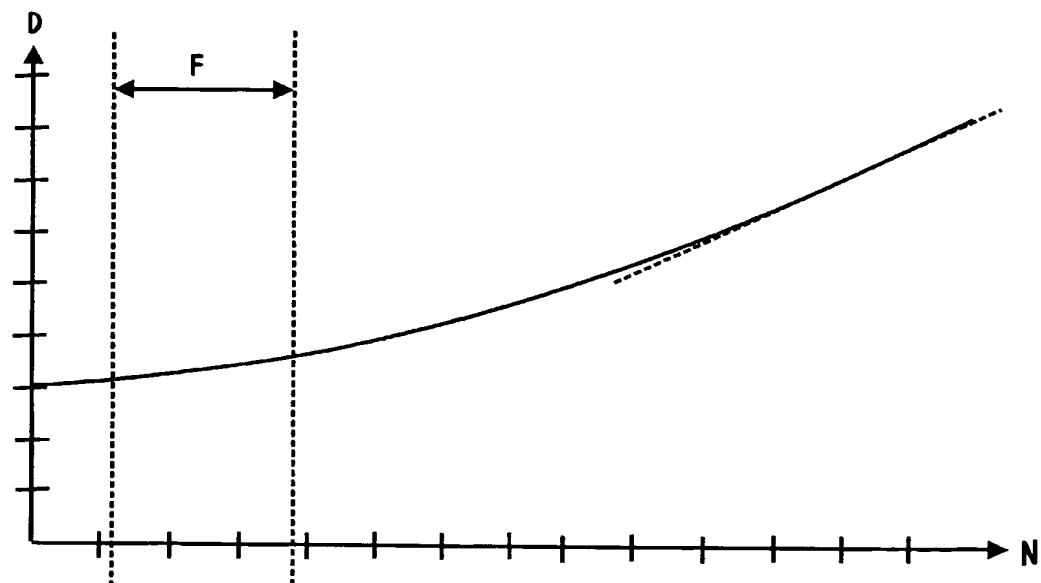


FIG. 7

INTERNATIONAL SEARCH REPORT

International application No.

PCT/FI 01/01026

A. CLASSIFICATION OF SUBJECT MATTER

IPC7: H04Q 11/04, H04L 12/56

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: H04Q, H04J, H04B, H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

WPI DATA, EPODOC, INSPEC

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 9945739 A1 (SIEMENS AKTIENGESELLSCHAFT), 10 Sept 1999 (10.09.99), abstract --	1-9
A	WO 9808357 A1 (TELEFONAKTIEBOLAGET LM ERICSSON (PUBL)), 26 February 1998 (26.02.98), abstract --	1-9
A	EP 0961444 A1 (NTT MOBILE COMMUNICATIONS NETWORK INC.), 1 December 1999 (01.12.99), abstract, claims -- -----	1-9

☐ Further documents are listed in the continuation of Box C.☒ See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

21 March 2002

Date of mailing of the international search report

26-03-2002

Name and mailing address of the ISA/

Swedish Patent Office

Box 5055, S-102 42 STOCKHOLM

Facsimile No. +46 8 666 02 86

Authorized officer

Fredrik Blomqvist/AE

Telephone No. +46 8 782 25 00

INTERNATIONAL SEARCH REPORT

Information on patent family members

28/01/02

International application No.

PCT/FI 01/01026

Patent document cited in search report			Publication date	Patent family member(s)		Publication date
WO	9945739	A1	10/09/99	DE	19808947 A	09/09/99
				EP	1060634 A	20/12/00
WO	9808357	A1	26/02/98	AU	3875097 A	06/03/98
				DE	19781941 T	09/09/99
				GB	2331662 A,B	26/05/99
				GB	9903890 D	00/00/00
				JP	2000517491 T	26/12/00
				US	5946309 A	31/08/99
EP	0961444	A1	01/12/99	CN	1239620 T	22/12/99
				WO	9914905 A	25/03/99